

# Lecture 13: 无参数的不动点定理

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## 1 学习目标

- (1) 了解不动点定理的背景
- (2) 了解不动点定理中不动点的定义
- (3) 了解无参数不动点定理的证明

## 2 引导问题

- (1) 哥德尔语句是哪个公式的不动点?
- (2) 一致性语句是哪个公式的不动点?
- (3) 亨金语句是哪个公式的不动点?
- (4) 给定一个  $p$  在其中不自由的  $p$  公式，如何求其不动点?

## 3 教学纲要

动机:

PA 中可证的事实:

$G \leftrightarrow \neg \text{Bew}(\overline{\overline{G}})$	$G \leftrightarrow \neg \text{Bew}(\overline{\overline{\perp}})$
$H \leftrightarrow \text{Bew}(\overline{\overline{H}})$	$H \leftrightarrow \top$
$\delta \leftrightarrow \text{Bew}(\overline{\overline{\neg \delta}})$	$\delta \leftrightarrow \text{Bew}(\overline{\overline{\perp}})$
$\delta \leftrightarrow \neg \text{Bew}(\overline{\overline{\neg \delta}})$	$\delta \leftrightarrow \perp$
$\delta \leftrightarrow (\text{Bew}(\overline{\overline{\delta}}) \rightarrow \text{Bew}(\overline{\overline{\neg \delta}}))$	$\delta \leftrightarrow (\text{Bew}(\overline{\overline{\text{Bew}(\overline{\overline{\perp}})}}) \rightarrow \text{Bew}(\overline{\overline{\perp}}))$

反映到 GL:

方程	无变元的解
$p \leftrightarrow \neg \Box p$	$\neg \Box \perp$
$p \leftrightarrow \Box p$	$\top$
$p \leftrightarrow \Box \neg p$	$\Box \perp$
$p \leftrightarrow \neg \Box \neg p$	$\perp$
$p \leftrightarrow (\Box p \rightarrow \Box \neg p)$	$\Box \Box \perp \rightarrow \Box \perp$

Recall  $\Box A = \Box A \wedge A$ .

Observation: if  $A(p)$  is a formula of modal language, in which only  $p$  is its variable and any occurrence of  $p$  is in the scope of some  $\Box$ , then there exists

a variable-free (=letterless) formula  $F$  such that

$$\text{GL} \vdash \Box(p \leftrightarrow A(p)) \leftrightarrow \Box(p \leftrightarrow F).$$

Equivalently,

$$\text{GL} \vdash \Box(p \leftrightarrow A(p)) \rightarrow (p \leftrightarrow F).$$

It follows

$$\text{GL} \vdash F \leftrightarrow A(F).$$

Let  $A(p) = \Box p \leftrightarrow \Box \neg p$ . We come to find a variable-free fixed point of  $A(p)$ , i.e., a variable-free formula  $F$  such that

$$\text{GL} \vdash \Box(p \leftrightarrow A(p)) \rightarrow (p \leftrightarrow F).$$

Recall:  $\text{GL} \vdash C$ , iff  $C$  is valid in any finite, transitive and irreflexive model.

Thus, we only need to find  $F$  such that for any finite, transitive and irreflexive model  $\mathcal{M}$ , if  $\mathcal{M} \models \Box(p \leftrightarrow A(p))$ , then  $\mathcal{M} \models p \leftrightarrow F$ . Equivalently, it is sufficient to make sure that if  $\mathcal{M} \models p \leftrightarrow A(p)$ , then  $\mathcal{M} \models p \leftrightarrow F$ .

Suppose  $\mathcal{M}$  is a finite, transitive and irreflexive model such that  $\mathcal{M} \models p \leftrightarrow A(p)$ , we investigate the semantical features of the variable  $p$  in the model  $\mathcal{M}$ .

Since  $\mathcal{M}$  is conversely well-founded, there exists a dead end, i.e., a point which is accessible to any point. We all know that any formula of form  $\Box C$  is true at dead end, more formally,  $\mathcal{M}, 0 \models \Box C$ , which 0 is a dead end in the (domain of) model  $\mathcal{M}$ . Thus,  $\mathcal{M}, 0 \models A(p)$ . But  $\mathcal{M} \models p \leftrightarrow A(p)$ , and so  $\mathcal{M}, 0 \models p$ .

Table 1 秩决定  $\Box p \leftrightarrow \Box \neg p$  的真假

	$\Box p$	$\Box \neg p$	$\Box p \leftrightarrow \Box \neg p$	$p$	$\neg p$	$\perp$	$\Box \perp$	$\Box \Box \perp$	$\neg \Box \perp$	$\Box \Box \perp \wedge \neg \Box \perp$
0	T	T	T	T	F	F	T	T	F	F
1	T	F	F	F	T	F	F	T	T	T
2	F	F	T	T	F	F	F	F	T	F
3	F	F	T	T	F	F	F	F	T	F

$\neg(\neg \Box \perp \wedge \Box \Box \perp)$ , i.e.  $\Box \perp \vee \neg \Box \Box \perp$  is the very formula  $F$  that we want to find.

Define the notion of rank:

A point of rank 0 is a dead end.

a point of rank 1 is a point which accessible to at least a point of rank 0, and all accessible points from which are of rank 0.

a point of rank 2 is a point which accessible to at least a point of rank 1, and all accessible points from which are of rank  $\leq 1$ .

a point of rank 3 is a point which accessible to at least a point of rank 2,

and all accessible points from which are of rank  $\leq 2$ .

We find: the truth value of  $p$  at a point is determined by the rank of that point.

We come to verify that a variable-free fixed point of  $A(p) = \Box\neg p$  is  $\Box\perp$ .

Suppose  $\mathcal{M} \models p \leftrightarrow \Box\neg p$ .

Table 2 验证已知无变元不动点的例子

	$\Box\neg p$	$p$	$\neg p$	$\perp$	$\Box\perp$	$\neg\Box\perp$
0	T	T	F	F	T	F
1	F	F	T	F	F	T
2	F	F	T	F	F	T

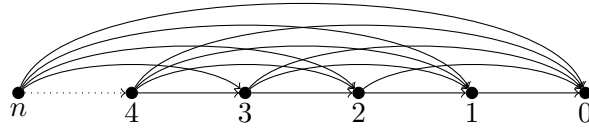


Figure 1 框架  $\langle\{0, 1, \dots, n\}, >\rangle$

令  $A(p) = \neg\Box p$ , 求这个公式的无变元不动点, 即满足  $GL \vdash \Box(p \leftrightarrow A(p)) \leftrightarrow \Box(p \leftrightarrow H)$  的无变元公式。为此, 只需给出表格 4。从这个表格, 直接看出带求不动点为  $\neg\Box\perp$ 。

求  $A(p) = \Box(\neg p \leftrightarrow \Box\perp)$  的无变元不动点。表列如下。

由这个表可知, 待求不动点为  $\Box\perp$ 。

Table 3 验证已知无变元不动点的例子

	$\Box p$	$\neg\Box p$	$p$	$\perp$	$\Box\perp$	$\neg\Box\perp$
0	T	F	F	F	T	F
1	F	T	T	F	F	T
2	F	T	T	F	F	T

Table 4 验证已知无变元不动点的例子

	$\Box\perp$	$\Box(\neg p \leftrightarrow \Box\perp)$	$p$	$\neg p$	$\neg p \leftrightarrow \Box\perp$	$\perp$
0	T	T	T	F	F	F
1	F	F	F	T	F	F
2	F	F	F	T	F	F

## 4 课后任务

**问题 4.1** 阅读我的讲义 3.2 节.

**问题 4.2** 阅读 Boolos (1993), pp. 104-111.