

# Cardinals and Cardinal Arithmetic

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# Outline

① Cardinals

② Cardinal Arithmetic

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- Definition: An ordinal number  $\alpha$  is called an **initial ordinal** (or a **cardinal**) if it is not equipotent to any  $\beta < \alpha$ .
- $|\omega| = |\omega + 1| = |\omega + \omega| = |\omega \cdot \omega|$ .
- $\omega$  is a cardinal, but none of  $\omega + 1, \omega + \omega, \omega \cdot \omega$  is so.

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- Definition: For any set  $A$ , let  $h(A)$  be the least ordinal number which is not equipotent to any subset of  $A$ .  $h(A)$  is called the **Hartog's number** of  $A$ .
- Fact: For any  $A$ ,  $h(A)$ , if exists, is a cardinal.

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- Lemma: For any  $A$ ,  $h(A)$  exists.

Proof. Let  $B = \{\beta \mid |\beta| \leq |A|\}$ .

Claim 1:  $B$  is a set.

Claim 2:  $h(A) = B$ .

- Lemma: For any cardinal  $\kappa$ ,  $h(\kappa)$  is the least cardinal that is greater than  $\kappa$ .

- Lemma: If  $A$  is a set of cardinals,  $\bigcup A$  is also a cardinal, and it is the least upper bound of  $A$ .

- Definition: Define  $\omega_\alpha$  by transfinite recursion on  $\alpha$  by

$$\omega_0 = \omega$$

$$\omega_{\alpha+1} = h(\omega_\alpha)$$

$$\omega_\alpha = \bigcup \{\omega_\beta \mid \beta < \alpha\}, \text{ if } \alpha \text{ is a non-zero limit}$$

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- Fact: Every  $\omega_\alpha$  is an infinite cardinal.

- Theorem: Every infinite cardinal is some  $\omega_\alpha$ .

- Notation:

$$\aleph_\alpha =_{\text{df}} \omega_\alpha$$

*To avoid confusion, we employ the convention of using the  $\omega$ -symbolism when the ordinal operations are involved, and the  $\aleph$ -symbolism for the cardinal operations.*

Hrbacek & Jech, 1999, p. 132.

- $\omega_0 + \omega_0 = \omega_0 \cdot 2 \neq \omega_0$ ;  $\aleph_0 + \aleph_0 = \aleph_0 \cdot 2 = \aleph_0$
- $2^{\omega_0} = \omega_1$ ;  $2^{\aleph_0} > \aleph_1$ .

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- In the following, we always use  $\kappa$ ,  $\lambda$ ,  $\mu$ , and so on to denote the cardinals.
- Definition:

$$\kappa + \lambda =_{\text{df}} |(\kappa \times \{0\}) \cup (\lambda \times \{1\})|$$

- Precisely,  $\kappa + \lambda$  is the cardinal  $\mu$  which is equipotent to the set  $(\kappa \times \{0\}) \cup (\lambda \times \{1\})$ .

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Fact:

- $\kappa + \lambda = \lambda + \kappa$
- $\kappa + (\lambda + \mu) = (\kappa + \lambda) + \mu$
- $\aleph_\alpha + n = \aleph_\alpha$ .
- $\aleph_\alpha + \aleph_\beta = \aleph_{\max(\alpha, \beta)}$ .

- Definition:

$$\kappa \cdot \lambda =_{\text{df}} |\kappa \times \lambda|$$

Fact:

- $\kappa \cdot \lambda = \lambda \cdot \kappa$
- $\kappa \cdot (\lambda \cdot \mu) = (\kappa \cdot \lambda) \cdot \mu$
- $\kappa \cdot (\lambda + \mu) = \kappa \cdot \lambda + \kappa \cdot \mu$
- $\aleph_\alpha \cdot n = \aleph_\alpha$ .



- Theorem:  $\aleph_\alpha \cdot \aleph_\alpha = \aleph_\alpha$
- Corollary:  $\aleph_\alpha \cdot \aleph_\beta = \aleph_{\max(\alpha, \beta)}$ .

# Homework

- Proof: If  $\alpha$  and  $\beta$  are at most countable ordinals then  $\alpha + \beta$  is also at most countable.
- Show that the ordinal and cardinal additions  $n + m$  are equal.

Thanks for your attention!  
Q & A