

# Natural Deduction II

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# Contents

- 1 Definition of derivation for FOL
- 2 Derived rules for  $\exists$
- 3 Rules for identity

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- 3 Rules for identity

# Rules for $\forall$

$\forall I$

$$\frac{\varphi(x)}{\forall x \varphi(x)} \forall I$$

where the variable  $x$  **does not** occur free in any uncanceled hypothesis in the derivation of  $\varphi(x)$ .

$\forall E$

$$\frac{\forall x \varphi(x)}{\varphi(t)} \forall E$$

where  $t$  is free for  $x$  in  $\varphi$ .

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# Why restriction for $\forall$ I

$$\frac{\frac{\frac{[x \doteq \mathbf{0}]}{\forall x(x \doteq \mathbf{0})} \forall I?}{x \doteq \mathbf{0} \rightarrow \forall x(x \doteq \mathbf{0})} \rightarrow I}{\forall x(x \doteq \mathbf{0} \rightarrow \forall x(x \doteq \mathbf{0}))} \forall I}{\mathbf{0} \doteq \mathbf{0} \rightarrow \forall x(x \doteq \mathbf{0})} \forall E$$

# Why restriction for $\forall E$

$$\frac{\frac{[\forall x \neg \forall y (x \dot{=} y)]}{\neg \forall y (y \dot{=} y)} \forall E?}{\forall x \neg \forall y (x \dot{=} y) \rightarrow \neg \forall y (y \dot{=} y)} \rightarrow I$$

# Derivation

## Definition

- A one-element tree  $\varphi$  is a derivation, whose hypothesis and conclusion are both  $\varphi$ .

- If both  $\frac{\mathcal{D}}{\varphi}$  and  $\frac{\mathcal{D}'}{\varphi'}$  are derivations, so is

$$\frac{\frac{\mathcal{D}}{\varphi} \quad \frac{\mathcal{D}'}{\varphi'}}{\varphi \wedge \varphi'}$$

whose conclusion is  $\varphi \wedge \varphi'$ , and whose hypotheses are the union

of those in  $\frac{\mathcal{D}}{\varphi}$  and those in  $\frac{\mathcal{D}'}{\varphi'}$ .



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# Derivation (continued)

## Definition

- If  $\frac{\mathcal{D}}{\varphi \wedge \psi}$  is a derivation, then the following two items are also derivations

$$\frac{\mathcal{D}}{\varphi \wedge \psi}, \quad \frac{\mathcal{D}}{\varphi}$$

$$\frac{\mathcal{D}}{\varphi \wedge \psi}, \quad \frac{\mathcal{D}}{\psi}$$

whose conclusions are respective  $\varphi$  and  $\psi$ , and whose

hypotheses are exactly the same as those in  $\frac{\mathcal{D}}{\varphi \wedge \psi}$

# Derivation (continued)

## Definition

- If both  $\varphi$   
 $\mathcal{D}$  is a derivation, so is  $\psi$

$$\frac{[\varphi] \quad \mathcal{D} \quad \psi}{\varphi \rightarrow \psi}$$

whose conclusion is  $\varphi \rightarrow \psi$ , and whose hypotheses are those in

$\varphi$   
 $\mathcal{D}$  minus  $\varphi$ .  
 $\psi$

# Derivation (continued)

## Definition

- If both  $\frac{\mathcal{D}}{\varphi}$  and  $\frac{\mathcal{D}'}{\varphi \rightarrow \psi}$  are derivations, so is

$$\frac{\frac{\mathcal{D}}{\varphi} \quad \frac{\mathcal{D}'}{\varphi \rightarrow \psi}}{\psi}$$

whose conclusion is  $\psi$ , and whose hypotheses are union of those

in  $\frac{\mathcal{D}}{\varphi}$  and those in  $\frac{\mathcal{D}'}{\varphi \rightarrow \psi}$

# Derivation (continued)

## Definition

- If  $\frac{\mathcal{D}}{\perp}$  is a derivation, so is

$$\frac{\mathcal{D}}{\frac{\perp}{\varphi}}$$

whose conclusion is  $\varphi$ , and whose hypotheses are exactly the same as those in  $\frac{\mathcal{D}}{\perp}$

# Derivation (continued)

## Definition

- If  $\mathcal{D}$  is a derivation, so is  $\frac{\perp}{\varphi}$  with  $\neg\varphi$  as a hypothesis.

$$\frac{[\neg\varphi] \quad \mathcal{D} \quad \perp}{\varphi}$$

whose conclusion is  $\varphi$ , and whose hypotheses are those in  $\mathcal{D}$

minus  $\neg\varphi$ .

$\neg\varphi$   
 $\mathcal{D}$   
 $\perp$

# Derivation (continued)

## Definition

- If  $\frac{\mathcal{D}}{\varphi(x)}$  is a derivation and  $x$  does not occur free in any (uncanceled) hypothesis of  $\mathcal{D}$ , so is

$$\frac{\mathcal{D}}{\varphi(x)}}{\forall x\varphi(x)}$$

whose conclusion is  $\forall x\varphi$ , and whose hypotheses are exactly the

same as those in  $\frac{\mathcal{D}}{\varphi(x)}$

# Derivation (continued)

## Definition

- If  $\frac{\mathcal{D}}{\forall x\varphi(x)}$  is a derivation and  $t$  is free for  $x$  in  $\varphi(x)$ , so is

$$\frac{\mathcal{D}}{\forall x\varphi(x)}{\varphi(t)}$$

whose conclusion is  $\varphi(t)$ , and whose hypotheses are exactly the

same as those in  $\frac{\mathcal{D}}{\forall x\varphi(x)}$



# Derivation (continued)

## Definition

- Only the trees obtained by the above rules are the derivations.

# Notation

- $\Gamma \vdash \varphi$ : there is a derivation with conclusion  $\varphi$  and with all (unconceled) hypotheses in  $\Gamma$ .

In this case, we also say:  $\varphi$  is derivable from  $\Gamma$ .

- We use  $\vdash \varphi$  instead of  $\emptyset \vdash \varphi$ .

In this case, we say,  $\varphi$  is a theorem (provable).

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- We use  $\vdash \varphi$  instead of  $\emptyset \vdash \varphi$ .  
In this case, we say,  $\varphi$  is a theorem (provable).

# Example

- $\vdash \forall x \forall y \varphi(x, y) \rightarrow \forall y \forall x \varphi(x, y)$

# Example

- $\vdash \forall x(\varphi \wedge \psi) \leftrightarrow (\forall x\varphi \wedge \forall x\psi)$

# Example

- $\vdash \forall x(\varphi \rightarrow \psi) \leftrightarrow (\varphi \rightarrow \forall x\psi)$ , where  $x$  does not occur free in  $\varphi$ .



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# Definition

In (Van Dalen's) derivations, we use the following definitions:

$$\neg\varphi \quad =_{\text{df}} \quad \varphi \rightarrow \perp$$

$$\varphi \vee \psi \quad =_{\text{df}} \quad \neg(\neg\varphi \wedge \neg\psi)$$

$$\varphi \leftrightarrow \psi \quad =_{\text{df}} \quad (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$$

$$\exists x\varphi \quad =_{\text{df}} \quad \neg\forall x\neg\varphi$$

# Example

- If  $t$  is free for  $x$  in  $\varphi(x)$ , then  $\varphi(t) \vdash \exists x\varphi(x)$

Note: This can be used as a derived rule.

$\exists I$

$$\frac{\varphi(t)}{\exists x\varphi(x)} \exists I$$

# Example

- If  $x$  is not free in  $\psi$  or any formula of  $\Gamma$ , and  $\Gamma, \varphi \vdash \psi$ , then  $\Gamma, \exists x\varphi \vdash \psi$

Note: This can be used as a derived rule.

$\exists E$

$$\frac{\exists x\varphi \quad \begin{array}{c} [\varphi] \\ \vdots \\ \psi \end{array}}{\psi} \exists E$$

# Example

- $\vdash \forall x\varphi \rightarrow \exists x\varphi$

# Example

- $\vdash \exists x \forall y \varphi \rightarrow \forall y \exists x \varphi$

# Example

- $\vdash \exists x(\varphi \vee \psi) \rightarrow \exists x\varphi \vee \exists x\psi$

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# Rules for $\doteq$

RI<sub>1</sub>

$$\frac{}{x \doteq x} \text{RI}_1$$

RI<sub>2</sub>

$$\frac{x \doteq y}{y \doteq x} \text{RI}_2$$

RI<sub>3</sub>

$$\frac{x \doteq y \quad y \doteq z}{x \doteq z} \text{RI}_3$$

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RI<sub>1</sub>

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RI<sub>1</sub>

$$\frac{}{x \doteq x} \text{RI}_1$$

RI<sub>2</sub>

$$\frac{x \doteq y}{y \doteq x} \text{RI}_2$$

RI<sub>3</sub>

$$\frac{x \doteq y \quad y \doteq z}{x \doteq z} \text{RI}_3$$

# Rules for $\doteq$ (continued)

RI<sub>4</sub>

$$\frac{x_1 \doteq y_1, \dots, x_n \doteq y_n}{t(x_1, \dots, x_n) \doteq t[y_1/x_1, \dots, y_n/x_n]} \text{RI}_4$$

RI<sub>5</sub>

$$\frac{x_1 \doteq y_1, \dots, x_n \doteq y_n}{\varphi(x_1, \dots, x_n) \leftrightarrow \varphi[y_1/x_1, \dots, y_n/x_n]} \text{RI}_5$$

where  $y_1, \dots, y_n$  are free for  $x_1, \dots, x_n$  in  $\varphi$ .

## Rules for $\doteq$ (continued)

RI<sub>4</sub>

$$\frac{x_1 \doteq y_1, \dots, x_n \doteq y_n}{t(x_1, \dots, x_n) \doteq t[y_1/x_1, \dots, y_n/x_n]} \text{RI}_4$$

RI<sub>5</sub>

$$\frac{x_1 \doteq y_1, \dots, x_n \doteq y_n}{\varphi(x_1, \dots, x_n) \leftrightarrow \varphi[y_1/x_1, \dots, y_n/x_n]} \text{RI}_5$$

where  $y_1, \dots, y_n$  are free for  $x_1, \dots, x_n$  in  $\varphi$ .

# Example

- If  $x$  does not occur in  $t$ , then  $\vdash \exists x(x \doteq t)$ .

# Example

- If  $x$  does not occur in  $t$ , then  $\vdash \exists x(x \doteq t)$ .

Proof.

$$\frac{\frac{\frac{\frac{}{x \doteq x}}{x \doteq x} \text{RI}_1}{\forall x(x \doteq x)} \forall I}{t \doteq t} \forall E}{\exists x(x \doteq t)} \exists I$$

The trick is in the last step:  $t \doteq t$  is taken as  $(x \doteq t)[t/x]$ .

## Example

- If  $t$  is free for  $x$  in  $\varphi$ , then  $x \doteq t \vdash \varphi(x) \leftrightarrow \varphi(t)$ .

Note: This can be used as a derived rule.

$$\frac{x \doteq t}{\varphi(x) \leftrightarrow \varphi(t)}$$

In general, if  $t_1, \dots, t_n$  are free for  $x_1, \dots, x_n$  in  $\varphi$ , then

$$x_1 \doteq t_1, \dots, x_n \doteq t_n \vdash \varphi(x_1, \dots, x_n) \leftrightarrow \varphi[t_1/x_1, \dots, t_n/x_n].$$

It is a strengthening of Rule RI<sub>5</sub>. We call it “RI<sub>5</sub><sup>+</sup>”.



## Example

- If  $t$  is free for  $x$  in  $\varphi$ , then  $x \doteq t \vdash \varphi(x) \leftrightarrow \varphi(t)$ .

Note: This can be used as a derived rule.

$$\frac{x \doteq t}{\varphi(x) \leftrightarrow \varphi(t)}$$

In general, if  $t_1, \dots, t_n$  are free for  $x_1, \dots, x_n$  in  $\varphi$ , then

$$x_1 \doteq t_1, \dots, x_n \doteq t_n \vdash \varphi(x_1, \dots, x_n) \leftrightarrow \varphi[t_1/x_1, \dots, t_n/x_n].$$

It is a strengthening of Rule  $RI_5$ . We call it “ $RI_5^+$ ”.

# Example

- If  $t$  is free for  $x$  in  $\varphi$ , then  $x \doteq t \vdash \varphi(x) \leftrightarrow \varphi(t)$ .

Proof. Let  $y$  be a variable that does not occur in  $\varphi$ . We have the following derivation:

$$\frac{\frac{\frac{[x \doteq y]}{\varphi(x) \leftrightarrow \varphi[y/x]} \text{RI}_5}{x \doteq y \rightarrow (\varphi(x) \leftrightarrow \varphi[y/x])} \rightarrow \text{I}}{\forall y (x \doteq y \rightarrow (\varphi(x) \leftrightarrow \varphi[y/x]))} \forall \text{I}}{\frac{x \doteq t \rightarrow (\varphi(x) \leftrightarrow \varphi[y/x][t/y])}{\varphi(x) \leftrightarrow \varphi[y/x][t/y]} \rightarrow \text{E}} \forall \text{E}$$

Note that  $\varphi[y/x][t/y]$  is exactly  $\varphi[t/x]$ . We thus obtain  $x \doteq t \vdash \varphi(x) \leftrightarrow \varphi(t)$ .

# Example

- If  $x$  does not occur in  $t$  and  $t$  is free for  $x$  in  $\varphi$ , then  
 $\vdash \varphi[t/x] \leftrightarrow \exists x(x \doteq t \wedge \varphi)$ .

# Example

- If  $x$  does not occur in  $t$  and  $t$  is free for  $x$  in  $\varphi$ , then  
 $\vdash \varphi[t/x] \leftrightarrow \exists x(x \doteq t \wedge \varphi)$ .

Proof.

$$\frac{\frac{\frac{}{x \doteq x} \text{RI}_1}{\forall x(x \doteq x)} \forall\text{I}}{t \doteq t} \forall\text{E}}{\frac{[\varphi[t/x]]}{t \doteq t \wedge \varphi[t/x]} \wedge\text{I}} \exists\text{I} \rightarrow\text{I}$$

This shows  $\vdash \varphi[t/x] \rightarrow \exists x(x \doteq t \wedge \varphi)$ .

# Example

- If  $x$  does not occur in  $t$  and  $t$  is free for  $x$  in  $\varphi$ , then  
 $\vdash \varphi[t/x] \leftrightarrow \exists x(x \dot{=} t \wedge \varphi)$ .

Proof (continued).

$$\frac{
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 \frac{
 [x \dot{=} t \wedge \varphi]^1
 }{x \dot{=} t} \wedge E
 }{\varphi(x) \leftrightarrow \varphi[t/x]} RI_5^+
 }{\varphi(x) \rightarrow \varphi[t/x]} \wedge E
 }{\varphi[t/x]} \rightarrow E
 }{\varphi[t/x]} \exists E
 }{\varphi[t/x]} \rightarrow I
 }{\exists x(x \dot{=} t \wedge \varphi) \rightarrow \varphi[t/x]} \rightarrow I$$

This shows  $\vdash \exists x(x \dot{=} t \wedge \varphi) \rightarrow \varphi[t/x]$ .

In conclusion, we obtain  $\vdash \varphi[t/x] \leftrightarrow \exists x(x \dot{=} t \wedge \varphi)$ .

# Example

- If  $x$  does not occur in  $t$  and  $t$  is free for  $x$  in  $\varphi$ , then  
 $\vdash \varphi[t/x] \leftrightarrow \exists x(x \dot{=} t \wedge \varphi)$ .

Proof (continued).

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 [x \dot{=} t \wedge \varphi]^1
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 }{\varphi(x) \leftrightarrow \varphi[t/x]} RI_5^+
 }{\varphi(x) \rightarrow \varphi[t/x]} \wedge E
 }{\varphi[t/x]} \rightarrow E
 }{\exists x(x \dot{=} t \wedge \varphi)]^2} \exists E
 }{\varphi[t/x]} \rightarrow I
 }{\exists x(x \dot{=} t \wedge \varphi) \rightarrow \varphi[t/x]} \rightarrow I$$

This shows  $\vdash \exists x(x \dot{=} t \wedge \varphi) \rightarrow \varphi[t/x]$ .

In conclusion, we obtain  $\vdash \varphi[t/x] \leftrightarrow \exists x(x \dot{=} t \wedge \varphi)$ .

# Example

- If  $x$  does not occur in  $t$  and  $t$  is free for  $x$  in  $\varphi$ , then  
 $\vdash \varphi[t/x] \leftrightarrow \forall x(x \doteq t \rightarrow \varphi)$ .

Thanks for your attention!

Q & A