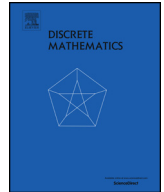




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## Discrete Mathematics

journal homepage: [www.elsevier.com/locate/disc](http://www.elsevier.com/locate/disc)

## Almost controllable graphs and beyond

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## ARTICLE INFO

## Article history:

Received 23 April 2023

Received in revised form 7 August 2023

Accepted 27 September 2023

Available online xxxx

## Keywords:

Main eigenvalue

Almost controllable

Cograph

Threshold graph

## ABSTRACT

An eigenvalue  $\lambda$  of a graph  $G$  of order  $n$  is a main eigenvalue if its eigenspace is not orthogonal to the all-ones vector  $j_n$ . In 1978, Cvetković proved that  $G$  has exactly one main eigenvalue if and only if  $G$  is regular, and posed the following long-standing problem: characterize the graphs with exactly  $k$  ( $2 \leq k \leq n$ ) main eigenvalues. Graphs of order  $n$  with  $n, n-1$  main eigenvalues are called controllable, almost controllable, respectively. Cographs, threshold graphs are frequently studied in structural graph theory and computer science. In this paper, all almost controllable cographs, all almost controllable threshold graphs and all almost controllable graphs with second largest eigenvalue less than or equal to  $\frac{\sqrt{5}-1}{2}$  are characterized. Furthermore, we give some results about cographs with exactly  $n-2$  main eigenvalues, and propose some additional problems for further study.

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## 1. Introduction

Throughout this paper,  $G$  is a simple graph with vertex set  $V(G) = \{v_1, \dots, v_n\}$  and edge set  $E(G)$ , where  $|V(G)| = n$  is the order of  $G$  and  $|E(G)|$  is the number of edges in  $G$ . If the vertices  $v_i$  and  $v_j$  are adjacent, we write  $v_i \sim v_j$ , then  $e = v_i v_j$  is an edge that belongs to  $E(G)$  and we say  $v_i$  ( $v_j$ ) is incident to  $e$ . Let  $N_G(u)$  be the neighbourhood set of  $u$  in  $G$  and  $d_G(u) = |N_G(u)|$  be the degree of the vertex  $u$  in  $G$ . Two vertices  $u, v$  of  $G$  are called duplicate vertices if  $u \sim v$  and  $N_G(u) = N_G(v)$ , co-duplicate vertices if  $u \sim v$  and  $N_G(u) \setminus \{v\} = N_G(v) \setminus \{u\}$ . The complement of a graph  $G$  is denoted by  $\bar{G}$ . Let  $K_n, K_{a,b}, P_n$  be the complete graph, complete bipartite graph, path of order  $n$  where  $a + b = n$ , respectively. The union of two disjoint graphs  $G$  and  $H$  is denoted by  $G \cup H$ . The join  $G \nabla H$  of two disjoint graphs  $G$  and  $H$  is the graph obtained from  $G \cup H$  by joining each vertex of  $G$  to each vertex of  $H$ . Suppose  $V' \subseteq V(G)$ , the induced subgraph of  $G$  with respect to  $V'$  is a graph with vertex set  $V'$  and edge set  $E'$ , where  $v_i v_j \in E'$  if  $v_i v_j \in E(G)$  for any  $v_i, v_j \in V'$ ,  $G - V'$  is the graph obtained from  $G$  after deleting each vertex  $v \in V'$  and all edges that are incident to  $v$ . An elementary graph is a graph in which each component is  $K_2$  or a cycle.

Let  $A(G) = [a_{ij}]$  be the  $n \times n$  adjacency matrix of  $G$  for which  $a_{ij} = 1$  if  $v_i \sim v_j$  and  $a_{ij} = 0$  if  $v_i \not\sim v_j$ . The eigenvalues of  $G$  are the eigenvalues of its adjacency matrix  $A(G)$ . The spectrum of  $G$  is the multiset of all eigenvalues of  $G$ , and we denote it by  $\text{Spec}(G)$ . An eigenvalue  $\lambda$  of  $G$  is said to be a main eigenvalue if its eigenspace is not orthogonal to the all-ones vector  $j_n = [1, 1, \dots, 1]^T$ . By [6], all main eigenvalues of  $G$  are distinct. Let  $\text{MainSpec}(G)$  denote the set of all main eigenvalues of  $G$ .

Let  $\lambda_1, \lambda_2, \dots, \lambda_m$  ( $1 \leq m \leq n$ ) be the distinct eigenvalues of  $G$ , and  $\lambda_1, \lambda_2, \dots, \lambda_k$  ( $1 \leq k \leq m$ ) be the main eigenvalues of  $G$ . Then  $1 \leq k \leq n$ . Let  $G$  be a connected graph. Then  $A(G)$  is an irreducible matrix with non-negative entries, and thus the largest eigenvalue of  $G$  is always main by the famous Perron-Frobenius Theorem. In 1978, Cvetković proved that  $G$  has

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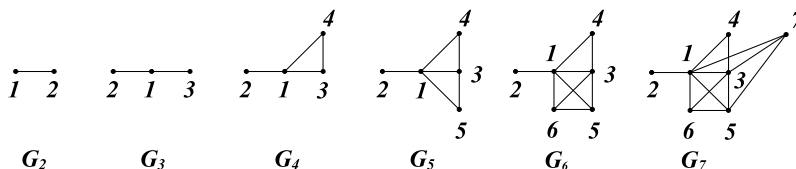


Fig. 1. The graphs  $G_i$  for  $i \in \{2, 3, 4, 5, 6, 7\}$ .

exactly one main eigenvalue if and only if  $G$  is regular. Besides, he posed the following long-standing problem: characterize the graphs with exactly  $k$  ( $2 \leq k \leq n$ ) main eigenvalues [6].

There are a series of papers characterizing the graphs with exactly  $2, n - 1, n$  main eigenvalues. All trees, unicyclic, bicyclic and tricyclic graphs with exactly 2 main eigenvalues are characterized in [21–23]. For the other relevant results, one can refer to Feng et al. [15], Hagos [19], Hayat et al. [20], Lepović [26], etc. For graphs with all eigenvalues main, Cvetković et al. defined them as *controllable graphs* through their correlation with control theory [10], and for the relevant results, we refer the readers to Cvetković et al. [10,11], Farrugia [14] and Stanić [31]. For graphs of order  $n$  with  $n - 1$  main eigenvalues, Wang et al. defined them as *almost controllable graphs* [32], and for the recent research on almost controllable graphs, one can refer to [12,27,28,32].

A graph  $G$  is called *reconstructible* if it can be determined from the knowledge only of all one-vertex-deleted subgraphs. In [17], the authors proved that a graph  $G$  of order  $n$  is reconstructible if all but at most one of the eigenvalues of  $A(G)$  are simple, with the corresponding eigenvectors not being orthogonal to  $j_n$ . Thus characterizing the graphs with exactly  $k$  main eigenvalues (especially  $k = n - 1, n$ ) is of great importance as such graphs are reconstructible.

In this paper, we focus on almost controllable graphs and the paper is organized as follows. In Section 2, all almost controllable cographs and threshold graphs are characterized. In Section 3, almost controllable graphs with the second largest eigenvalue less than or equal to  $\frac{\sqrt{5}-1}{2}$  are determined. In Section 4, we present some results about cographs with exactly  $n - 2$  main eigenvalues. Furthermore, some problems are proposed for further research.

## 2. Almost controllable cographs and threshold graphs

In this section, almost controllable cographs and threshold graphs are characterized.

First we define a graph  $G_n$  of order  $n$  ( $n \geq 1$ ) recursively: (1)  $G_1 \cong K_1$  where  $V(G_1) = \{v_1\}$ ; (2)  $G_2 \cong P_2$  where  $V(G_2) = \{v_1, v_2\}$ ; (3) For  $n \geq 3$ ,  $G_n$  is obtained from  $G_{n-1}$  by adding a new vertex  $v_n$  adjacent to each vertex  $v \in N_{G_{n-1}}(v_{n-1})$ , and  $v_n \sim v_{n-1}$  if  $v_{n-1} \approx v_{n-2}$ ,  $v_n \approx v_{n-1}$  if  $v_{n-1} \sim v_{n-2}$ , where  $V(G_{n-1}) = \{v_1, v_2, \dots, v_{n-1}\}$ . It is not hard to find that  $v_n, v_{n-1}$  is a pair of duplicate vertices in  $G_n$  for odd  $n$  ( $\geq 3$ ), and a pair of co-duplicate vertices in  $G_n$  for even  $n$  ( $\geq 2$ ). The graphs  $G_i$  are shown in Fig. 1 for  $i \in \{2, 3, 4, 5, 6, 7\}$ .

By the symmetry of  $v_{n-2}, v_{n-1}$  in  $G_{n-1}$  and the definition of  $G_n$  ( $n \geq 3$ ), we can conclude the following proposition.

**Proposition 2.1.** *The graph  $G_n$  ( $n \geq 2$ ) is unique up to isomorphism.*

In [12], the authors raised the following problem.

**Problem 2.2.** ([12]) *Given any integer  $t$ , how can one construct graphs (of order  $n$ ) with  $t$  as the unique non-main eigenvalue for sufficiently large  $n$ ?*

Next we give an example for the cases  $t = 0, -1$  of Problem 2.2, and we will give some lemmas first.

**Lemma 2.3.** *Let  $n \geq 1$ . Then  $\overline{G_{n+1}} \cong G_n \cup K_1$ .*

**Proof.** By the definition of  $G_n$ , it is not hard to find that  $\overline{G_1} \cong K_1$ ,  $\overline{G_2} \cong 2K_1$ , and for  $n \geq 3$ ,  $\overline{G_n}$  can be obtained from  $\overline{G_{n-1}}$  after adding a new vertex  $v_n$  adjacent to each vertex  $v \in N_{\overline{G_{n-1}}}(v_{n-1})$ , and  $v_n \sim v_{n-1}$  if  $v_{n-1} \approx v_{n-2}$  in  $\overline{G_{n-1}}$ ,  $v_n \approx v_{n-1}$  if  $v_{n-1} \sim v_{n-2}$  in  $\overline{G_{n-1}}$ . Hence  $\{\overline{G_n}\}_{n \geq 3}$  has the same recurrence relation as  $\{G_n\}_{n \geq 3}$  but the initial conditions are different.

It is easy to check that  $d_{G_3}(v_1) = 2$ ,  $d_{G_4}(v_1) = 3$ ,  $\dots$ ,  $d_{G_{n+1}}(v_1) = n$  by the definition of  $G_{n+1}$ , then  $d_{\overline{G_{n+1}}}(v_1) = 0$  for  $n \geq 2$ . Hence  $\{\overline{G_{n+1}} - v_1\}_{n \geq 3}$  and  $\{G_n\}_{n \geq 3}$  have the same recurrence relation by  $d_{\overline{G_{n+1}}}(v_1) = 0$ .

We note that  $\overline{G_2} - v_1 \cong G_1$ ,  $\overline{G_3} - v_1 \cong G_2$ , therefore  $\overline{G_{n+1}} - v_1 \cong G_n$  for  $n \geq 1$  since  $\{\overline{G_{n+1}} - v_1\}_{n \geq 3}$  and  $\{G_n\}_{n \geq 3}$  have the same recurrence relation, and this implies  $\overline{G_{n+1}} \cong G_n \cup K_1$  by  $d_{\overline{G_{n+1}}}(v_1) = 0$ .  $\square$

**Lemma 2.4.** ([29]) *A graph  $G$  and its complement  $\overline{G}$  have the same number of main eigenvalues.*

**Lemma 2.5.** *Let  $G$  be a graph of order  $n$  with main eigenvalues  $\lambda_1, \dots, \lambda_k$  ( $k \leq n$ ) where  $\lambda_i \neq 0$  for  $1 \leq i \leq k$ . Then  $\text{MainSpec}(G \cup K_1) = \{\lambda_1, \dots, \lambda_k, 0\}$ .*

**Proof.** Let  $A(G)x_i = \lambda_i x_i$  for  $i \in \{1, 2, \dots, n\}$ . Then  $j_n^T x_i \neq 0$  for  $i \in \{1, 2, \dots, k\}$  and  $j_n^T x_i = 0$  for  $i \in \{k + 1, k + 2, \dots, n\}$ .

Let  $y_i = (x_i^T, 0)^T$  for  $i \in \{1, 2, \dots, n\}$  and  $y_{n+1} = (0, \dots, 0, 1)^T$ . It is easy to check that  $A(G \cup K_1) = \begin{bmatrix} A(G) & \mathbf{0} \\ \mathbf{0}^T & 0 \end{bmatrix}$ ,  $A(G \cup K_1)y_i = \lambda_i y_i$  for  $i \in \{1, 2, \dots, n\}$ , and  $A(G \cup K_1)y_{n+1} = 0 \cdot y_{n+1}$ . Clearly,  $j_{n+1}^T y_i \neq 0$  for  $i \in \{1, \dots, k, n + 1\}$  and  $j_{n+1}^T y_i = 0$  for  $i \in \{k + 1, \dots, n\}$ , then we complete the proof.  $\square$

By direct calculation, we have the following results.

**Proposition 2.6.** Let  $G$  be a graph of order  $n$ . If  $G$  has a pair of duplicate (or co-duplicate) vertices  $v_i, v_j$ , then  $0$  (or  $-1$ ) is a non-main eigenvalue of  $G$  with the corresponding eigenvector  $(0, \dots, 0, 1, 0, \dots, 0, -1, 0, \dots, 0)^T$ , where  $1, -1$  is the  $i$ -th,  $j$ -th entry, respectively.

By Proposition 2.6 and the definition of  $G_n$  ( $n \geq 2$ ), it is easy to find that  $-1$  is a non-main eigenvalue of  $G_n$  if  $n$  is even, and  $0$  is a non-main eigenvalue of  $G_n$  if  $n$  is odd. Next we show that  $-1$  (or  $0$ ) is the unique non-main eigenvalue of  $G_n$ .

**Lemma 2.7** (Sachs' Coefficient Theorem [9]). Let  $G$  be a graph on  $n$  vertices with characteristic polynomial  $P_G(x) = x^n + c_1 x^{n-1} + \dots + c_{n-1} x + c_n$ ,  $\mathcal{H}_i$  be the set of all elementary subgraphs of  $G$  with  $i$  vertices for  $1 \leq i \leq n$ . For each  $H$  in  $\mathcal{H}_i$ , let  $p(H)$  denote the number of components of  $H$  and  $c(H)$  denote the number of cycles in  $H$ . Then

$$c_i = \sum_{H \in \mathcal{H}_i} (-1)^{p(H)} 2^{c(H)}, \quad \text{for all } i = 1, \dots, n.$$

**Lemma 2.8** (Interlacing Theorem [9]). Let  $G$  be a graph with  $n$  vertices and eigenvalues  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ ,  $H$  be an induced subgraph of  $G$  with  $m$  vertices and eigenvalues  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_m$ . Then  $\lambda_i \geq \mu_i \geq \lambda_{n-m+i}$  for  $i \in \{1, 2, \dots, m\}$ .

**Lemma 2.9.** Let  $n \geq 2$ . Then  $0 \notin \text{Spec}(G_n)$  for  $n$  is even, and  $0$  is a simple non-main eigenvalue of  $G_n$  for  $n$  is odd.

**Proof.** We prove this by the following two cases.

**Case 1.**  $n$  is even.

We will prove  $0 \notin \text{Spec}(G_n)$  by showing that the constant  $c_n$  of  $P_{G_n}(x)$  is non-zero. By the definition of  $G_n$ , it is not hard to find that  $d_{G_n}(v_1) = n - 1, d_{G_n}(v_2) = 1, d_{G_n}(v_3) = n - 2, d_{G_n}(v_4) = 2, d_{G_n}(v_5) = n - 3, d_{G_n}(v_6) = 3, \dots$ . In general,  $N_{G_n}(v_i) = \{v_1, v_3, v_5, \dots, v_{i-1}\}$  if  $i$  ( $2 \leq i \leq n$ ) is even, then  $d_{G_n}(v_{n-3}) = \frac{n}{2} + 1, d_{G_n}(v_{n-2}) = \frac{n}{2} - 1, d_{G_n}(v_{n-1}) = \frac{n}{2}, d_{G_n}(v_n) = \frac{n}{2}$ .

Let  $\mathcal{H}_n$  be the set of all elementary subgraphs of  $G_n$  with  $n$  vertices. Now we consider the possible elementary subgraph  $H \in \mathcal{H}_n$  which contributes to  $c_n$  by Lemma 2.7. It is not hard to find that there is only one  $H$  belongs to  $\mathcal{H}_n$  where  $E(H) = \{v_1 v_2, v_3 v_4, \dots, v_{n-1} v_n\}$  since  $N_{G_n}(v_i) = \{v_1, v_3, v_5, \dots, v_{i-1}\}$  if  $i$  ( $2 \leq i \leq n$ ) is even. Therefore,  $H$  can only be isomorphic to  $\frac{n}{2} K_2$  which implies  $c_n = (-1)^{\frac{n}{2}} 2^0 \neq 0$ . Thus  $0 \notin \text{Spec}(G_n)$  if  $n$  is even.

**Case 2.**  $n$  is odd.

Since  $G_n$  has a pair of duplicate vertices,  $0$  is a non-main eigenvalue of  $G_n$  by Proposition 2.6.

If  $0$  is an eigenvalue of  $G_n$  with multiplicity at least 2, then there exists some  $i$  ( $1 \leq i \leq n - 1$ ) such that  $\lambda_i = 0 \geq \mu_i \geq \lambda_{i+1} = 0$  by Lemma 2.8 and  $G_{n-1}$  is an induced subgraph of  $G_n$ , where  $\lambda_i, \lambda_{i+1} \in \text{Spec}(G_n)$  and  $\mu_i \in \text{Spec}(G_{n-1})$ . That is to say  $0 \in \text{Spec}(G_{n-1})$ , and it is impossible since  $n - 1$  is even and  $0 \notin \text{Spec}(G_{n-1})$  by Case 1. Thus  $0$  is a simple non-main eigenvalue of  $G_n$  for  $n$  is odd.  $\square$

Now we prove that the graph  $G_n$  ( $n \geq 2$ ) has  $0$  or  $-1$  as its unique non-main eigenvalue.

**Theorem 2.10.** Let  $n \geq 2$ . Then the graph  $G_n$  is almost controllable. In fact,  $-1$  is the unique non-main eigenvalue of  $G_n$  if  $n$  is even, and  $0$  is the unique non-main eigenvalue of  $G_n$  if  $n$  is odd.

**Proof.** Firstly, we show  $|\text{MainSpec}(G_n)| = n - 1$  by induction on  $n$ .

It is easy to check that  $\text{Spec}(G_2) = \{1, -1\}$  where  $-1$  is the unique non-main eigenvalue, and  $\text{Spec}(G_3) = \{\sqrt{2}, 0, -\sqrt{2}\}$  where  $0$  is the unique non-main eigenvalue. Therefore,  $|\text{MainSpec}(G_2)| = 1$  and  $|\text{MainSpec}(G_3)| = 2$ .

By Lemmas 2.3 and 2.4, we have  $|\text{MainSpec}(G_4)| = |\text{MainSpec}(\overline{G_4})| = |\text{MainSpec}(G_3 \cup K_1)|$ . Since  $0$  is a simple non-main eigenvalue of  $G_3$ , we have  $|\text{MainSpec}(G_3 \cup K_1)| = |\text{MainSpec}(G_3)| + 1 = 3$  by Lemma 2.5 and  $|\text{MainSpec}(G_3)| = 2$ , and thus  $|\text{MainSpec}(G_4)| = 3$ . Similarly,  $|\text{MainSpec}(G_5)| = |\text{MainSpec}(\overline{G_5})| = |\text{MainSpec}(G_4 \cup K_1)|$ . By Lemma 2.9, we have  $0 \notin \text{Spec}(G_4)$ . Then  $|\text{MainSpec}(G_4 \cup K_1)| = |\text{MainSpec}(G_4)| + 1$  by Lemma 2.5. Thus  $|\text{MainSpec}(G_5)| = 4$  by  $|\text{MainSpec}(G_4)| = 3$ .

Suppose  $|\text{MainSpec}(G_{i-1})| = i - 2$  for  $i \geq 6$ . Now we show  $|\text{MainSpec}(G_i)| = i - 1$ .

By Lemmas 2.3 and 2.4, we have  $|\text{MainSpec}(G_i)| = |\text{MainSpec}(\overline{G_i})| = |\text{MainSpec}(G_{i-1} \cup K_1)|$ .

If  $i$  is even, then  $0$  is a simple non-main eigenvalue of  $G_{i-1}$  by Lemma 2.9, and thus we have  $|\text{MainSpec}(G_{i-1} \cup K_1)| = |\text{MainSpec}(G_{i-1})| + 1 = i - 1$  by Lemma 2.5 and induction hypothesis.

If  $i$  is odd, then  $0 \notin \text{Spec}(G_{i-1})$  by Lemma 2.9, and thus we have  $|\text{MainSpec}(G_{i-1} \cup K_1)| = |\text{MainSpec}(G_{i-1})| + 1 = i - 1$  by Lemma 2.5 and induction hypothesis.

By Proposition 2.6, the rest part of the theorem is obvious.  $\square$

By Theorem 2.10, the graph  $G_n$  is an example for the cases  $t = 0, -1$  of Problem 2.2, that is,  $-1$  is the unique non-main eigenvalue of  $G_n$  if  $n$  is even, and  $0$  is the unique non-main eigenvalue of  $G_n$  if  $n$  is odd.

A graph  $G$  is called *complement reducible* (a *cograph* for short) if for any induced subgraph  $H$  of  $G$  with at least two vertices, either  $H$  or  $\overline{H}$  is disconnected. Cographs have a characterization in terms of forbidden induced subgraphs: they are graphs containing no  $P_4$  as an induced subgraph [5]. Cographs are frequently studied in structural graph theory and have been rediscovered numerous times, see [2,16,30].

The following two lemmas are presented in [5] and improved by [4].

**Lemma 2.11.** ([4]) *The class of cographs can be defined recursively as follows:*

- (i) *A single vertex is a cograph.*
- (ii) *If  $H_1, H_2$  are two disjoint cographs, then so is their union  $H_1 \cup H_2$ .*
- (iii) *If  $H_1, H_2$  are two disjoint cographs, then so is their join  $H_1 \nabla H_2$ .*

**Lemma 2.12.** ([4]) *If  $G$  is a cograph, then every non-trivial induced subgraph  $H$  of  $G$  has two vertices which are (co-)duplicate in  $H$ .*

By the properties of the cographs, we have the following proposition.

**Proposition 2.13.** *Let  $G$  be a cograph. Then any induced subgraph of  $G$  is a cograph.*

**Lemma 2.14.** *Let  $G$  be a cograph, then  $\overline{G}$  is a cograph.*

**Proof.** Suppose to the contrary, then  $\overline{G}$  contains  $P_4$  as an induced subgraph. However,  $\overline{P_4} \cong P_4$  which implies  $G$  has  $P_4$  as an induced subgraph, and this contradicts with  $G$  is a cograph.  $\square$

**Lemma 2.15.** *Let  $n \geq 1$ . Then the graph  $G_n(\overline{G_n})$  is a cograph.*

**Proof.** By Lemmas 2.3, 2.11 and 2.14,  $G_1 \cong K_1$  is a cograph,  $\overline{G_2} \cong G_1 \cup K_1$  is a cograph and so  $G_2$  is. Similarly,  $\overline{G_i} \cong G_{i-1} \cup K_1$  is a cograph and  $G_i$  is also a cograph for  $i \geq 3$ .  $\square$

Next we characterize almost controllable cographs.

An *automorphism* of a graph  $G$  is a permutation  $\sigma$  of the vertex set  $V(G)$  such that the pair of vertices  $v_i \sim v_j$  if and only if  $\sigma(v_i) \sim \sigma(v_j)$ . The set of automorphisms of  $G$  under the composition operation, form a group, called the *automorphism group* of  $G$  and denoted by  $\text{Aut}(G)$ . It is well-known that a graph and its complement share the same automorphism group.

**Lemma 2.16.** ([10]) *Controllable graphs have only trivial automorphism group.*

By Lemmas 2.12 and 2.16, it is clear that there is no controllable cograph [11]. However, there exist almost controllable cographs. In fact,  $G_n$  (or  $\overline{G_n}$ ) is an almost controllable cograph by Theorem 2.10 and Lemma 2.15.

**Lemma 2.17.** ([12]) *Let  $G$  be a graph of order  $n$  with  $n - 1$  main eigenvalues, then its automorphism group  $\text{Aut}(G)$  is either trivial or generated by a transposition  $\sigma = (v_i, v_j)$  for some  $v_i, v_j \in V(G)$ , where  $\sigma$  fixes all vertices  $w \in V(G) \setminus \{v_i, v_j\}$ .*

By the definition of the automorphism of a graph  $G$  and the relationship between  $G$  and  $\text{Aut}(G)$ , we have the following result immediately.

**Proposition 2.18.** *Let  $G$  be a graph of order  $n$ . Then  $G$  has a pair of vertices  $v_i, v_j$  such that  $N_{G-v_j}(v_i) = N_{G-v_i}(v_j)$  if and only if  $(v_i, v_j) \in \text{Aut}(G)$  for some  $i, j \in \{1, 2, \dots, n\}$ . In fact, such  $v_i, v_j$  is a pair of duplicate vertices in  $G$  if  $v_i \approx v_j$ , and a pair of co-duplicate vertices in  $G$  if  $v_i \sim v_j$ .*

By Lemma 2.17, if  $G$  is an almost controllable graph, then  $|\text{Aut}(G)| \in \{1, 2\}$ . Besides, if  $|\text{Aut}(G)| = 2$ , then  $G$  has a unique pair of vertices  $v_i, v_j$  such that  $N_{G-v_j}(v_i) = N_{G-v_i}(v_j)$  for some  $i, j \in \{1, 2, \dots, n\}$  by Proposition 2.18.

**Lemma 2.19.** *Let  $G$  be an almost controllable cograph of order  $n (\geq 2)$ . Then  $G \cong H \cup K_1$  or  $G \cong H \nabla K_1$ , where  $H$  is a cograph of order  $n - 1$ . Especially,  $G \cong H \nabla K_1$  if  $H$  is disconnected.*

**Proof.** By Lemma 2.11, we have  $G \cong H_1 \cup H_2$  or  $G \cong H_1 \nabla H_2$  where  $H_1, H_2$  are two disjoint cographs. If  $|V(H_i)| \geq 2$  for  $i \in \{1, 2\}$ , then each pair of (co-)duplicate vertices in  $H_i$  is also a pair of (co-)duplicate vertices in  $G$ , which implies  $|\text{Aut}(G)| > 2$ , and this contradicts  $G$  being almost controllable by Lemma 2.17. Then there is at most one  $H_i$  satisfies  $|V(H_i)| \geq 2$  for  $i \in \{1, 2\}$ , and thus  $G \cong H \cup K_1$  or  $G \cong H \nabla K_1$ , where  $H$  is a cograph of order  $n - 1$ .

Now we show if  $H$  is disconnected with  $|V(H)| \geq 2$ , then  $G \cong H \nabla K_1$ . Suppose to the contrary, we have  $G \cong H \cup K_1$ , where  $H$  is disconnected with  $|V(H)| \geq 2$ . By Lemma 2.12 and  $G \cong H \cup K_1$ , we know that every non-trivial connected component of  $H$  has two vertices which are (co-)duplicate in  $H$  and  $G$ . If there are at least two components in  $H$  with at least two vertices, then this will lead to a contradiction with  $G$  has a unique pair of vertices  $x, y$  such that  $N_{G-y}(x) = N_{G-x}(y)$ . If there is one component in  $H$  with at least two vertices, then we additionally have  $2K_1$  in  $G$ , a contradiction. Obviously,  $H \cong 2K_1$  can also lead to a contradiction. Thus  $G \cong H \nabla K_1$  if  $H$  is disconnected.  $\square$

**Theorem 2.20.** *Let  $G$  be a cograph of order  $n \geq 2$ . If  $G$  has a unique pair of vertices  $x, y$  such that  $N_{G-y}(x) = N_{G-x}(y)$ , then  $G \in \{G_n, \overline{G_n}\}$ .*

**Proof.** It is obvious that  $(x, y) \in \text{Aut}(G)$ , where  $x, y$  is a pair of duplicate vertices if  $x \sim y$ , and a pair of co-duplicate vertices if  $x \not\sim y$  by Proposition 2.18.

For  $n = 2$ , then  $G \cong P_2 \cong G_2$  or  $G \cong 2K_1 \cong \overline{G_2}$ . Thus  $G \in \{G_2, \overline{G_2}\}$ .

For  $n \geq 3$ , by Lemma 2.12, Proposition 2.18 and  $G$  is a cograph, we can suppose  $(s, t) \in \text{Aut}(H)$  where  $H = G - x$  and  $|V(H)| \geq 2$ .

**Claim 1.**  $y \in \{s, t\}$ .

Suppose to the contrary,  $y \neq s$  and  $y \neq t$ . Then  $V(H) = \{s, t\} \cup V_1 \cup V_2$  where  $V_1 = \{v | v \sim s, v \sim t\}$ ,  $V_2 = \{v | v \not\sim s, v \not\sim t\}$ . If  $y \in V_1$ , then  $x \sim s, x \sim t$  in  $G$  since  $(x, y) \in \text{Aut}(G)$ . However, in this case we have  $(s, t) \in \text{Aut}(G)$ , which contradicts the uniqueness of  $x, y$ . Similarly, if  $y \in V_2$ , then  $x \not\sim s, x \not\sim t$  in  $G$ , and this implies  $(s, t) \in \text{Aut}(G)$ , a contradiction.

By Claim 1, we can suppose  $t = y$ , and thus  $(s, y) \in \text{Aut}(H)$ .

**Claim 2.** If  $x \sim y$ , then  $s \sim y$ ; if  $x \not\sim y$ , then  $s \not\sim y$ .

Suppose to the contrary, there are  $x \sim y$  and  $s \not\sim y$  in  $G$ . Since  $(x, y) \in \text{Aut}(G)$  and  $(s, y) \in \text{Aut}(H)$ , we have  $x \sim s$  and  $N_G(x) = V_1 \cup \{y, s\}$  where  $V_1$  is defined in Claim 1. Then both  $x, s$  and  $y, s$  are pairs of co-duplicate vertices in  $G$ , which contradicts the uniqueness of  $x, y$ . By similar way, if  $x \not\sim y$  and  $s \sim y$ , then both  $x, s$  and  $y, s$  are pairs of duplicate vertices in  $G$ , a contradiction.

**Claim 3.**  $s, y$  is the unique pair of vertices of  $H$  such that  $N_{H-y}(s) = N_{H-s}(y)$ .

Suppose to the contrary, there is an automorphism  $(s', y) \in \text{Aut}(H)$  where  $s' \neq s$ .

If  $x \sim y$ , then  $s \sim y$  and  $s' \sim y$  by Claim 2, thus  $x \not\sim s$  and  $x \not\sim s'$  by  $(x, y) \in \text{Aut}(G)$  and  $s' \sim s$  by  $(s, y) \in \text{Aut}(H)$ . Therefore, we have  $N_H(s) = N_H(y) = N_H(s')$  by  $(s, y) \in \text{Aut}(H)$  and  $(s', y) \in \text{Aut}(H)$ . Combining the above arguments, we can conclude that  $N_G(s) = N_G(s')$ , and thus  $s, s'$  is a pair of duplicate vertices in  $G$ , which contradicts the uniqueness of  $x, y$ .

If  $x \not\sim y$ , then  $s \not\sim y$  and  $s' \not\sim y$  by Claim 2. By similar arguments, we have  $x \sim s, x \sim s', s \sim s'$  and  $N_G(s) \setminus \{s'\} = N_G(s') \setminus \{s\}$ . Thus  $s, s'$  is a pair of co-duplicate vertices in  $G$ , which contradicts the uniqueness of  $x, y$ .

**Claim 4.** Let  $|V(H)| \geq 2$ . Then the graphs  $G$  and  $H$  have the same number of components. Moreover, if  $G$  is disconnected, then  $G \cong Q_1 \cup K_1$  and  $H \cong (Q_1 - x) \cup K_1$  where  $Q_1, Q_1 - x$  are connected.

It is obvious that  $G$  and  $H$  have the same number of components by  $(x, y) \in \text{Aut}(G)$ .

Now we prove the rest part of Claim 4. Let  $G \cong Q_1 \cup Q_2 \cup \dots \cup Q_l$  where  $Q_i$  is connected ( $1 \leq i \leq l, l \geq 2$ ). By Lemma 2.12,  $Q_i$  has at least one pair of (co-)duplicate vertices if  $|V(Q_i)| \geq 2$  for  $i \in \{1, \dots, l\}$ . Suppose  $(u_i, v_i) \in \text{Aut}(Q_i)$  for some  $i \in \{1, 2, \dots, l\}$  where  $(u_i, v_i) \neq (x, y)$ . Then  $N_{Q_i-v_i}(u_i) = N_{Q_i-u_i}(v_i) = N_{G-v_i}(u_i) = N_{G-u_i}(v_i)$ , which implies  $(u_i, v_i) \in \text{Aut}(G)$ , a contradiction. Thus there is at most one  $Q_i$  has  $|V(Q_i)| \geq 2$  and we can suppose  $G \cong Q_1 \cup (l-1)K_1$  where  $l \geq 2$ . However, if  $l \geq 3$  and  $|V(Q_1)| = 1$ , then  $G \cong 3K_1$  has 3 pairs of duplicate vertices, a contradiction; if  $l \geq 3$  and  $|V(Q_1)| \geq 2$ , then  $G$  has at least 2 pairs of (co-)duplicate vertices, a contradiction. Thus  $G \cong Q_1 \cup K_1$ .

We note that  $d_G(x) = d_G(y) \geq 1$  by  $(x, y) \in \text{Aut}(G)$ . Then from this and  $G \cong Q_1 \cup K_1$ , we have  $H = G - x \cong (Q_1 - x) \cup K_1$  where  $Q_1 - x$  is connected. Then Claim 4 holds.

Now we show  $G \in \{G_n, \overline{G_n}\}$ .

From the above discussion,  $H$  is a cograph with a unique pair of vertices  $s, y$  such that  $N_{H-y}(s) = N_{H-s}(y)$ , then we can conclude that there are Claim 1'- Claim 4' between  $H$  and  $H - y (\cong H - s)$  which are similar to Claims 1-4.

Let  $V(G) = \{v_1, v_2, \dots, v_n\}$ , where  $v_n, v_{n-1}$  is the unique pair of vertices of  $G$  such that  $N_{G-v_{n-1}}(v_n) = N_{G-v_n}(v_{n-1})$ . Also let  $F_n = G$  and  $F_i = G - \{v_n, v_{n-1}, \dots, v_{i+1}\}$  for  $2 \leq i \leq n - 1$ . It is obvious that  $F_i$  ( $2 \leq i \leq n$ ) are cographs. Then by the above arguments, each pair of graphs  $F_i, F_{i-1}$  have 4 Claims, where  $v_i, v_{i-1}$  is the unique pair of vertices of  $F_i$  ( $3 \leq i \leq n$ ) such that  $N_{F_i-v_{i-1}}(v_i) = N_{F_i-v_i}(v_{i-1})$ .

If  $G$  is connected, then  $F_2$  is a connected graph of order 2, which implies  $F_2 \cong P_2 \cong G_2$ . By the relationships between  $F_3$  and  $F_2$ , it is easy to find that  $F_3 \cong P_3 \cong G_3$ . By the definition of  $G_n$  and the relationships between  $F_i$  and  $F_{i-1}$  ( $3 \leq i \leq n$ ), we have  $G \cong G_n$ .

If  $G$  is disconnected, then  $G \cong Q_1 \cup K_1$  where  $Q_1$  is a connected graph by Claim 4. Let  $d_G(v_1) = 0$ . Then  $d_{F_i}(v_1) = 0$  for  $i \in \{2, 3, 4, \dots, n-1\}$ . Therefore, we have  $F_2$  is a disconnected graph of order 2, which implies  $F_2 \cong 2K_1 \cong \overline{G_2}$ . By the relationships between  $F_3$  and  $F_2$ , it is easy to find that  $F_3 \cong P_2 \cup K_1 \cong \overline{G_3}$ . By the definition of  $G_n$  and the relationships between  $F_i$  and  $F_{i-1}$  ( $3 \leq i \leq n$ ), we have  $G \cong \overline{G_n}$ .  $\square$

Now we determine all almost controllable cographs.

**Theorem 2.21.** *Let  $n \geq 2$ . Then  $G$  is an almost controllable cograph of order  $n$  if and only if  $G \cong G_n$  or  $G \cong \overline{G_n}$ .*

**Proof.** If  $G \cong G_n$  or  $G \cong \overline{G_n}$ , then  $G$  is an almost controllable cograph of order  $n$  by Theorem 2.10 and Lemma 2.15.

Let  $G$  be an almost controllable cograph of order  $n$ . Then by Lemma 2.17,  $\text{Aut}(G)$  is either trivial or generated by a transposition  $(x, y)$ .

If  $\text{Aut}(G)$  is trivial, then  $G$  is not a cograph by Lemma 2.12.

If  $\text{Aut}(G)$  is generated by a transposition  $(x, y)$ , then  $G$  has a unique pair of vertices  $x, y$  such that  $N_{G-y}(x) = N_{G-x}(y)$  by Proposition 2.18. By Theorem 2.20, we have  $G \in \{G_n, \overline{G_n}\}$ . Thus  $G \cong G_n$  or  $G \cong \overline{G_n}$ .  $\square$

A *threshold graph* can be obtained from  $K_1$  by repeatedly performing one of the following two operations: (a) adding a new vertex adjacent to none of the former vertices; (b) adding a new vertex adjacent to all of the former vertices.

Let  $G$  be a threshold graph of order  $n$  and  $V(G) = \{v_1, v_2, \dots, v_n\}$  where  $v_i$  is the added vertex in the  $i$ -th step of the operations. We can use a  $\{0, 1\}$ -sequence  $b = (b_1, b_2, \dots, b_n)$  to represent  $G$ , where  $b_i = 0$  if  $v_i$  is a vertex not adjacent to any of the vertices in  $\{v_1, v_2, \dots, v_{i-1}\}$  and  $b_i = 1$  if  $v_i$  is adjacent to  $v_j$  for  $1 \leq j \leq i-1$ . There is a considerable body of knowledge on the spectral properties of threshold graphs [1,24,25].

We note that the complement of a threshold graph is also a threshold graph since the complement of  $(b_1, b_2, \dots, b_n)$  is  $(1 - b_1, 1 - b_2, \dots, 1 - b_n)$ . For example,  $(0, 1) \cong P_2$ ,  $(1, 0) \cong 2K_1$ ,  $(1, 1, 0) \cong P_2 \cup K_1$ ,  $(0, 0, 1) \cong P_3$ .

It is well known that threshold graphs are characterized in terms of forbidden induced subgraphs: they are graphs without  $P_4, C_4$  and  $2K_2$  as induced subgraphs [18]. By the properties of threshold graphs and cographs, the following proposition is self-evident.

**Proposition 2.22.** *A threshold graph is also a cograph.*

Now we determine all almost controllable threshold graphs.

**Theorem 2.23.** *Let  $n \geq 2$ . Then  $G$  is an almost controllable threshold graph of order  $n$  if and only if  $G \cong G_n$  or  $G \cong \overline{G_n}$ .*

**Proof.** It is clear that  $G_2 \cong P_2$  is a threshold graph. Then by Lemma 2.3 and the definition of threshold graphs, we have  $\overline{G_3} \cong G_2 \cup K_1$ ,  $G_4 \cong \overline{G_3} \cup K_1 \cong \overline{G_3} \nabla K_1$ ,  $\overline{G_5} \cong G_4 \cup K_1$ ,  $\dots$ ,  $\overline{G_{n-1}} \cong G_{n-2} \cup K_1$  (or  $G_{n-1} \cong \overline{G_{n-2}} \nabla K_1$ ),  $G_n \cong \overline{G_{n-1}} \nabla K_1$  (or  $\overline{G_n} \cong G_{n-1} \cup K_1$ ) are threshold graphs. Therefore, by the fact that the complement of a threshold graph is also a threshold graph, we have  $G_n$  and  $\overline{G_n}$  are threshold graphs for all  $n \geq 2$ . By Theorem 2.10, we have  $G_n$  and  $\overline{G_n}$  are almost controllable threshold graphs.

On the other hand, if  $G$  is an almost controllable threshold graph, then  $G$  is an almost controllable cograph by Proposition 2.22, and thus  $G \cong G_n$  or  $G \cong \overline{G_n}$  by Theorem 2.21.  $\square$

### 3. Almost controllable $\frac{\sqrt{5}-1}{2}$ -graphs

It is well known that connected graphs except for complete multipartite (including complete) graphs have the second largest eigenvalue greater than 0. The graph  $G$  with  $\lambda_2(G) \leq \frac{1}{3}$  ([3]),  $\lambda_2(G) \leq \frac{1}{2}$  ([33]) and  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$  ([8]) are characterized respectively.

A graph  $G$  with  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$  will be called  $\frac{\sqrt{5}-1}{2}$ -graphs. In [11], the authors proved that there are no controllable  $\frac{\sqrt{5}-1}{2}$ -graphs. In this section, we determine all almost controllable  $\frac{\sqrt{5}-1}{2}$ -graphs.

**Lemma 3.1.** ([8]) *If  $G$  is a  $\frac{\sqrt{5}-1}{2}$ -graph and contains  $P_4$  as an induced subgraph, then any vertex  $v$  outside  $P_4$  is one of the four types  $a, b, c, d$  shown in Fig. 2.*

Let a *pendant vertex* be a vertex of degree 1, and a *next-to-pendant vertex* be a vertex adjacent to a pendant vertex.



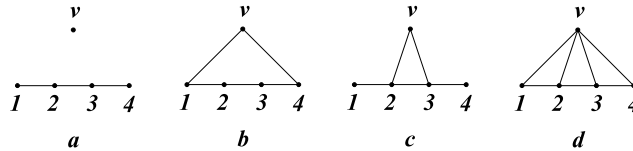


Fig. 2. The types  $a, b, c, d$  in Lemma 3.1.

**Lemma 3.2.** ([13]) Let  $G$  be a graph which contains an induced subgraph  $H \cong IP_4$  for  $l \geq 1$ . Then  $G$  is not almost controllable if any vertex  $v \in V(G) \setminus V(H)$  satisfies one of the following three cases:  
 (i)  $v$  is either adjacent to every vertex or no vertex of some  $P_4$ ;  
 (ii)  $v$  is adjacent to an even number of pendant vertices of  $H$ ;  
 (iii)  $v$  is adjacent to an even number of next-to-pendant vertices of  $H$ .

**Theorem 3.3.** Let  $G$  be an almost controllable graph of order  $n (\geq 2)$  with eigenvalues  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ . Then  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$  if and only if  $2 \leq n \leq 8$ , and  $G \in \{G_2, G_3, G_4, G_5, G_6, G_7, \overline{G_2}, \overline{G_3}, \overline{G_4}, \overline{G_5}, \overline{G_6}, \overline{G_7}, \overline{G_8}\}$ .

**Proof.** Let  $G$  be an almost controllable graph of order  $n (\geq 2)$  with  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$ . Then we show  $2 \leq n \leq 8$  and  $G \in \{G_2, G_3, G_4, G_5, G_6, G_7, \overline{G_2}, \overline{G_3}, \overline{G_4}, \overline{G_5}, \overline{G_6}, \overline{G_7}, \overline{G_8}\}$  by the following two cases.

**Case 1.**  $G$  contains  $P_4$  as an induced subgraph.

Then we have  $\lambda_2(G) \geq \lambda_2(P_4) = \frac{\sqrt{5}-1}{2}$  by Lemma 2.8, and thus  $\lambda_2(G) = \frac{\sqrt{5}-1}{2}$ . By Lemmas 3.1 and 3.2,  $G$  is not almost controllable. Thus there is no such  $G$ .

**Case 2.**  $G$  does not contain  $P_4$  as an induced subgraph.

Then  $G$  is a cograph, and we have  $G \in \{G_n, \overline{G_n}\}$  by Theorem 2.21. By direct calculation, we have  $\lambda_2(G_7) \approx 0.537$  and  $\lambda_2(G_8) \approx 0.697$ , combining with Lemma 2.8 and the definition of  $G_n$ , we have  $\lambda_2(G_2) \leq \dots \leq \lambda_2(G_7) < \frac{\sqrt{5}-1}{2} < \lambda_2(G_8) \leq \lambda_2(G_9) \leq \dots$ . By Lemmas 2.3 and 2.8, we have  $\lambda_2(\overline{G_2}) \leq \dots \leq \lambda_2(\overline{G_8} \cong G_7 \cup K_1) < \frac{\sqrt{5}-1}{2} < \lambda_2(\overline{G_9} \cong G_8 \cup K_1) \leq \lambda_2(\overline{G_{10}}) \leq \dots$ .

Combining the above arguments, we have  $G \in \{G_2, G_3, G_4, G_5, G_6, G_7\}$  if  $G$  is connected with  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$ , and  $G \in \{\overline{G_2}, \overline{G_3}, \overline{G_4}, \overline{G_5}, \overline{G_6}, \overline{G_7}, \overline{G_8}\}$  if  $G$  is disconnected with  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$ .

On the other hand, suppose  $G$  is an almost controllable graph with  $\lambda_2(G) \leq \frac{\sqrt{5}-1}{2}$ . By the calculation in Case 2, we have  $G_2, G_3, G_4, G_5, G_6, G_7, \overline{G_2}, \overline{G_3}, \overline{G_4}, \overline{G_5}, \overline{G_6}, \overline{G_7}, \overline{G_8}$  are almost controllable graphs with the second largest eigenvalue less than  $\frac{\sqrt{5}-1}{2}$ . □

By Theorem 3.3, we know that there is no almost controllable graph  $G$  with  $\lambda_2(G) = \frac{\sqrt{5}-1}{2}$ . Naturally, we propose Problem 3.5 based on Lemma 3.4.

**Lemma 3.4.** ([13]) Let  $G$  be a graph which contains an induced subgraph  $H \cong IP_5$  for  $l \geq 1$ . Then  $G$  is not almost controllable if any vertex  $v \in V(G) \setminus V(H)$  satisfies one of the following three cases:  
 (i)  $v$  is either adjacent to every vertex or no vertex of some  $P_5$ ;  
 (ii)  $v$  is adjacent to an even number of pendant vertices of  $H$ ;  
 (iii)  $v$  is adjacent to an even number of next-to-pendant vertices of  $H$ .

**Problem 3.5.** Characterize all almost controllable graphs  $G$  with  $\frac{\sqrt{5}-1}{2} < \lambda_2(G) \leq 1 = \lambda_2(P_5)$ .

#### 4. Cographs with $n - 2$ main eigenvalues

By the discussion in Section 2, cographs of order  $n$  with  $n$  (controllable),  $n - 1$  (almost controllable) main eigenvalues are characterized. In this section, we study the cographs of order  $n$  with  $n - 2$  main eigenvalues. Two vertices  $v_i, v_j \in V(G)$  belong to the same orbit if there is an automorphism  $\sigma \in \text{Aut}(G)$  such that  $\sigma(v_i) = v_j$ .

**Lemma 4.1.** ([7]) The number of main eigenvalues of a graph  $G$  does not exceed the number of orbits into which  $V(G)$  is partitioned by the automorphism group  $\text{Aut}(G)$ .

**Theorem 4.2.** Let  $G$  be a graph of order  $n (\geq 3)$  with  $n - 2$  main eigenvalues. Then  $\text{Aut}(G)$  is one of the following cases where  $e$  is the identity transformation.

- (i)  $\text{Aut}(G) = \{e\}$ ;
- (ii)  $\text{Aut}(G) = \{e, (u, v)\}$ ;

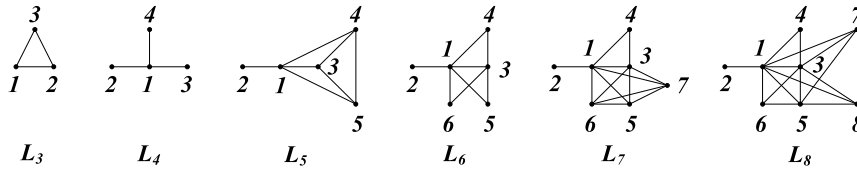


Fig. 3. The graphs  $L_i$  for  $i \in \{3, 4, 5, 6, 7, 8\}$ .

- (iii)  $\text{Aut}(G) = \{e, (u, p, q), (u, q, p)\}$ ;
- (iv)  $\text{Aut}(G) = \{e, (u, p), (v, q), (u, p)(v, q)\}$ ;
- (v)  $\text{Aut}(G) = \{e, (u, p)(v, q)\}$ .

**Proof.** If  $G$  is a graph of order  $n$  with  $n - 2$  main eigenvalues, then the number of orbits of  $V(G)$  is greater than or equal to  $n - 2$  by Lemma 4.1.

If  $V(G)$  has  $n$  (or  $n - 1$ ) orbits, then  $\text{Aut}(G) = \{e\}$  (or  $\text{Aut}(G) = \{e, (u, v)\}$ ) is obvious by Lemma 2.17.

If  $V(G)$  has  $n - 2$  orbits, then  $n - 2$  vertices have been used since each orbit has at least one vertex, and thus the remaining 2 vertices, say  $p, q$ , must belong to some of the  $n - 2$  orbits. If  $p, q$  are in the same orbit, then  $(u, p, q) \in \text{Aut}(G)$  for some  $u \in V(G)$  which implies  $\text{Aut}(G) = \{e, (u, p, q), (u, q, p)\}$ . If  $p, q$  are not in the same orbit, then there exist  $u, v \in V(G)$  such that  $(u, p), (v, q) \in \text{Aut}(G)$  or  $(u, p)(v, q) \in \text{Aut}(G)$ , and this implies  $\text{Aut}(G) = \{e, (u, p), (v, q), (u, p)(v, q)\}$  or  $\text{Aut}(G) = \{e, (u, p)(v, q)\}$ .  $\square$

Now we introduce a graph  $L_n$  of order  $n$  ( $n \geq 3$ ), and show that  $L_n$  and  $\overline{L_n}$  are cographs of order  $n$  with  $n - 2$  main eigenvalues.

As in Section 2, let  $n \geq 3$  and  $V(G_{n-1}) = \{v_1, \dots, v_{n-1}\}$ . We define  $L_n$  ( $n \geq 3$ ) as the graph of order  $n$  that is obtained from  $G_{n-1}$  after adding a new vertex  $v_n$  such that  $v_n \sim v$  for any  $v \in N_{G_{n-1}}(v_{n-1})$ , and  $v_n \sim v_{n-1}$  if and only if  $v_{n-1} \sim v_{n-2}$ . The graphs  $L_i$  are shown in Fig. 3 for  $i \in \{3, 4, 5, 6, 7, 8\}$ .

From the definitions of  $L_n$  and  $G_{n-1}$ , we know that any two of  $\{v_{n-2}, v_{n-1}, v_n\}$  is a pair of (co-)duplicate vertices in  $L_n$ , then  $\text{Aut}(L_n) = \{e, (v_{n-2}, v_{n-1}, v_n), (v_{n-2}, v_n, v_{n-1})\}$ . Therefore,  $L_n$  ( $\overline{L_n}$ ) is a cograph by  $G_{n-1}$  ( $\overline{G_{n-1}}$ ) is a cograph. Moreover,  $L_n$  ( $\overline{L_n}$ ) is a threshold graph by the definition of threshold graphs and  $(v_{n-2}, v_{n-1}, v_n) \in \text{Aut}(L_n)$ . It should be noted that  $L_n$  and its complement are cographs and threshold graphs, which can also be derived from Lemma 4.3 that will be proved below.

Next we show  $L_n$  ( $\overline{L_n}$ ) has  $n - 2$  main eigenvalues for  $n \geq 3$ .

**Lemma 4.3.** Let  $n \geq 3$ . Then  $\overline{L_{n+1}} \cong L_n \cup K_1$ .

**Proof.** By the definition of  $L_n$ , we have  $\overline{L_n}$  is obtained from  $\overline{G_{n-1}}$  after adding a new vertex  $v_n$  such that  $v_n \sim v$  for any  $v \in N_{\overline{G_{n-1}}}(v_{n-1})$ , and  $v_n \sim v_{n-1}$  if and only if  $v_{n-1} \sim v_{n-2}$  in  $\overline{G_{n-1}}$ .

Similarly, we have  $\overline{L_{n+1}}$  is obtained from  $\overline{G_n}$  after adding a new vertex  $v_{n+1}$  such that  $v_{n+1} \sim v$  for any  $v \in N_{\overline{G_n}}(v_n)$ , and  $v_{n+1} \sim v_n$  if and only if  $v_n \sim v_{n-1}$  in  $\overline{G_n}$ . By Lemma 2.3 and the definition of  $\overline{G_n}$ , we have  $\overline{G_n} \cong G_{n-1} \cup K_1$  and  $d_{\overline{G_n}}(v_1) = 0$ , then  $d_{\overline{L_{n+1}}}(v_1) = 0$  is obvious. Thus  $\overline{L_{n+1}} - v_1$  is isomorphic to the graph that is obtained from  $G_{n-1}$  after adding a new vertex  $v'$  such that  $v' \sim v$  for any  $v \in N_{G_{n-1}}(v_{n-1})$ , and  $v' \sim v_{n-1}$  if and only if  $v_{n-1} \sim v_{n-2}$  in  $G_{n-1}$ . By the definition of  $L_n$ , we have  $\overline{L_{n+1}} - v_1 \cong L_n$ , and thus  $\overline{L_{n+1}} \cong L_n \cup K_1$  by  $d_{\overline{L_{n+1}}}(v_1) = 0$ .  $\square$

**Lemma 4.4.** Let  $n \geq 3$ . If  $n$  is even, then 0 is a non-main eigenvalue of  $L_n$  with multiplicity 2. If  $n$  is odd, then  $0 \notin \text{Spec}(L_n)$  and  $-1$  is a non-main eigenvalue of  $L_n$  with multiplicity 2.

**Proof.** Let  $\text{Spec}(L_n) = \{\lambda_1, \dots, \lambda_n\}$ ,  $\text{Spec}(G_{n-1}) = \{\mu_1, \dots, \mu_{n-1}\}$ ,  $x_1 = (0, \dots, 0, -1, 1, 0)^T$ ,  $x_2 = (0, \dots, 0, -1, 0, 1)^T$  are  $n$ -dimensional vectors. Then we prove the results by the following two cases.

**Case 1.**  $n$  is even.

By the definitions of  $L_n$  and  $G_{n-1}$ , we know that any two of  $\{v_{n-2}, v_{n-1}, v_n\}$  is a pair of duplicate vertices in  $L_n$ . Then it is easy to find that  $A(L_n)x_i = 0 \cdot x_i$  for  $i \in \{1, 2\}$ . Thus 0 is an eigenvalue of  $L_n$  with multiplicity greater than or equal to 2.

Next we show 0 is an eigenvalue of  $L_n$  with multiplicity 2. Suppose the multiplicity of 0 is greater than 2, then there exists some  $i$  ( $2 \leq i \leq n - 1$ ) such that  $\lambda_{i-1} = \lambda_i = \lambda_{i+1} = 0$ . Then we have  $0 = \lambda_{i-1} \geq \mu_{i-1} \geq \lambda_i = 0 \geq \mu_i \geq \lambda_{i+1} = 0$  by Lemma 2.8. That is to say, 0 is an eigenvalue of  $G_{n-1}$  with multiplicity greater than or equal to 2. This is a contradiction since 0 is a simple eigenvalue of  $G_{n-1}$  by Lemma 2.9. Therefore, 0 is a non-main eigenvalue of  $L_n$  with multiplicity 2 by  $j_n^T x_1 = j_n^T x_2 = 0$ .

**Case 2.**  $n$  is odd.



We will prove  $0 \notin \text{Spec}(L_n)$  by showing that the constant  $c'_n$  of  $P_{L_n}(x)$  is non-zero. By the definitions of  $L_n$  and  $G_{n-1}$ , it is not hard to find that  $N_{L_n}(v_i) = \{v_1, v_3, v_5, \dots, v_{i-1}\}$  if  $i$  ( $2 \leq i \leq n-3$ ) is even, and any two of  $\{v_{n-2}, v_{n-1}, v_n\}$  is a pair of co-duplicate vertices.

Let  $\mathcal{H}'_n$  be the set of all elementary subgraphs of  $L_n$  with  $n$  vertices. Now we consider the possible elementary subgraph  $H \in \mathcal{H}'_n$  which contributes to  $c'_n$  by Lemma 2.7. It is not hard to find that there is only one  $H$  belongs to  $\mathcal{H}'_n$ , where  $E(H) = \{v_1v_2, v_3v_4, \dots, v_{n-4}v_{n-3}, v_{n-2}v_{n-1}, v_{n-2}v_n, v_{n-1}v_n\}$  since  $N_{L_n}(v_i) = \{v_1, v_3, v_5, \dots, v_{i-1}\}$  if  $i$  ( $2 \leq i \leq n-3$ ) is even. Therefore,  $H$  can only be isomorphic to  $\frac{n-3}{2}K_2 \cup C_3$  which implies  $c'_n = (-1)^{\frac{n-1}{2}}2^1 \neq 0$ . Thus  $0 \notin \text{Spec}(L_n)$  if  $n$  is odd.

By the definitions of  $L_n$  and  $G_{n-1}$ , we know that any two of  $\{v_{n-2}, v_{n-1}, v_n\}$  is a pair of co-duplicate vertices in  $L_n$ . Then it is easy to find that  $A(L_n)x_i = -1 \cdot x_i$  for  $i \in \{1, 2\}$ . Thus  $-1$  is an eigenvalue of  $L_n$  with multiplicity greater than or equal to 2.

Next we show  $-1$  is a non-main eigenvalue of  $L_n$  with multiplicity 2. Suppose the multiplicity of  $-1$  is great than 2, then there exists some  $i$  ( $2 \leq i \leq n-1$ ) such that  $\lambda_{i-1} = \lambda_i = \lambda_{i+1} = -1$ , and thus we have  $-1 = \lambda_{i-1} \geq \mu_{i-1} \geq \lambda_i = -1 \geq \mu_i \geq \lambda_{i+1} = -1$  by Lemma 2.8. That is to say,  $-1$  is an eigenvalue of  $G_{n-1}$  with multiplicity greater than or equal to 2. This is a contradiction since  $-1$  is a simple non-main eigenvalue of  $G_{n-1}$  by Theorem 2.10. Therefore,  $-1$  is a non-main eigenvalue of  $L_n$  with multiplicity 2 by  $j_n^T x_1 = j_n^T x_2 = 0$ .  $\square$

**Theorem 4.5.** *Let  $n \geq 3$ . Then both  $L_n$  and  $\overline{L_n}$  are cographs with  $n - 2$  main eigenvalues. In fact,  $-1$  is the non-main eigenvalue of  $L_n$  with multiplicity 2 if  $n$  is odd, and 0 is the non-main eigenvalue of  $L_n$  with multiplicity 2 if  $n$  is even.*

**Proof.** By direct calculation,  $L_3 \cong C_3$  and  $\text{Spec}(C_3) = \{2, -1, -1\}$ , where 2 is the unique main eigenvalue. Similarly,  $L_4 \cong K_{1,3}$  and  $\text{Spec}(K_{1,3}) = \{\sqrt{3}, -\sqrt{3}, 0, 0\}$ , and only  $\sqrt{3}, -\sqrt{3}$  are main eigenvalues.

Similar to the proof of Theorem 2.10, we have  $L_n$  and  $\overline{L_n}$  are cographs with  $n - 2$  main eigenvalues by Lemmas 2.4, 2.5, 4.3 and 4.4.

The rest part of the theorem is obvious by Lemma 4.4.  $\square$

Now we study the cographs of order  $n$  with exactly  $n - 2$  main eigenvalues.

**Theorem 4.6.** *Let  $G$  be a cograph of order  $n$  ( $\geq 3$ ) with exactly  $n - 2$  main eigenvalues. Then  $G$  is one of the following four cases:*

- (i)  $G \cong L_n$  or  $G \cong \overline{L_n}$ ;
- (ii)  $G \cong H \cup K_1$  or  $G \cong H \nabla K_1$ , where  $H$  is obtained from  $G_{n-3}$  (or  $\overline{G_{n-3}}$ ) after adding 2 vertices  $v_{n-2}, v_{n-1}$  and some edges such that  $\text{Aut}(H) = \langle (v_{n-4}, v_{n-3}), (v_{n-2}, v_{n-1}) \rangle$ ;
- (iii)  $G \cong G_i \cup G_{n-i}$  or  $G \cong G_i \cup \overline{G_{n-i}}$  for some  $i$  ( $2 \leq i \leq n-2$ );
- (iv)  $G \cong G_i \nabla G_{n-i}$  or  $G \cong G_i \nabla \overline{G_{n-i}}$  for some  $i$  ( $2 \leq i \leq n-2$ ).

**Proof. Case 1.**  $\text{Aut}(G) = \{e\}$  or  $\text{Aut}(G) = \{e, (u, v)\}$ .

Then there is no such  $G$  by Lemmas 2.12, 2.16 and Theorems 2.10, 2.20.

**Case 2.**  $\text{Aut}(G) = \{e, (u, p)(v, q)\}$ .

Then there is no such  $G$  by Lemma 2.12.

**Case 3.**  $\text{Aut}(G) = \{e, (u, p, q), (u, q, p)\}$ .

Then any two of  $\{u, p, q\}$  is a pair of (co-)duplicate vertices in  $G$ . It is easy to find that  $G - u$  ( $\cong G - p \cong G - q$ ) has a unique pair of vertices  $p, q$  such that  $N_{G-\{u,q\}}(p) = N_{G-\{u,p\}}(q)$ . Combining with  $G - u$  is a cograph, we have  $G - u \in \{G_{n-1}, \overline{G_{n-1}}\}$  by Theorem 2.20. The same conclusion applies to  $G - p, G - q$ . Then (i) holds by the definition of  $L_n$ .

**Case 4.**  $\text{Aut}(G) = \{e, (u, p), (v, q), (u, p)(v, q)\}$ .

By Lemma 2.11, we have  $G \cong H_1 \cup H_2$  or  $G \cong H_1 \nabla H_2$ , where  $H_1, H_2$  are cographs.

**Subcase 4.1.**  $(u, p), (v, q) \in \text{Aut}(H_1)$ .

Then  $|V(H_2)| = 1$ , otherwise  $H_2$  has (co-)duplicate vertices by Lemma 2.12 and this contradicts with  $\text{Aut}(G) = \{e, (u, p), (v, q), (u, p)(v, q)\}$ . Thus  $G \cong H_1 \cup K_1$  or  $G \cong H_1 \nabla K_1$ , where  $H_1, K_1$  are cographs. It is easy to find that  $H_1 - \{u, p\}$  (or  $H_1 - \{v, q\}$ ) is a cograph with a unique pair of vertices  $v, q$  such that  $N_{H_1-\{u,p,q\}}(v) = N_{H_1-\{u,p,v\}}(q)$  (or  $u, p$  such that  $N_{H_1-\{v,q,p\}}(u) = N_{H_1-\{v,q,p\}}(p)$ ). Thus  $H_1 - \{u, p\} \in \{G_{n-3}, \overline{G_{n-3}}\}$  (or  $H_1 - \{v, q\} \in \{G_{n-3}, \overline{G_{n-3}}\}$ ). Then (ii) holds.

**Subcase 4.2.**  $(u, p) \in \text{Aut}(H_1)$  and  $(v, q) \in \text{Aut}(H_2)$ .

Then  $H_1$  (or  $H_2$ ) is a cograph with a unique pair of vertices  $u, p$  (or  $v, q$ ) such that  $N_{H_1-p}(u) = N_{H_1-u}(p)$  (or  $N_{H_2-q}(v) = N_{H_2-v}(q)$ ). Thus  $H_1 \in \{G_i, \overline{G_i}\}$  and  $H_2 \in \{G_{n-i}, \overline{G_{n-i}}\}$  by Theorem 2.20 for  $2 \leq i \leq n-2$ .

If  $G \cong \overline{H_1} \cup H_2$ , then  $G$  has  $n - 2$  main eigenvalues if and only if  $\text{MainSpec}(H_1) \cap \text{MainSpec}(H_2) = \emptyset$ , and thus  $H_1 \cong \overline{G_i}, H_2 \cong \overline{G_{n-i}}$  cannot be both true since  $0 \in \text{MainSpec}(\overline{G_i}) \cap \text{MainSpec}(\overline{G_{n-i}})$  for  $2 \leq i \leq n-2$ . Then (iii) holds.

If  $G \cong \overline{H_1} \nabla H_2$ , then  $|\text{MainSpec}(G)| = |\text{MainSpec}(H_1 \nabla H_2)| = |\text{MainSpec}(\overline{H_1} \cup H_2)| = n - 2$  if and only if  $\text{MainSpec}(\overline{H_1}) \cap \text{MainSpec}(H_2) = \emptyset$ , and thus  $\overline{H_1} \cong \overline{G_i}, H_2 \cong \overline{G_{n-i}}$  cannot be both true since  $0 \in \text{MainSpec}(\overline{G_i}) \cap \text{MainSpec}(\overline{G_{n-i}})$  for  $2 \leq i \leq n-2$ . Then (iv) holds.  $\square$

**Example 4.7.** Let  $n \geq 5$ ,  $W_n \cong H \cup K_1$  be the graph of order  $n$ ,  $H \cong G_{n-3} \cup 2K_1$ . Suppose  $d_H(v_{n-2}) = d_H(v_{n-1}) = 0$ . It is easy to check that  $\text{Aut}(H) = \langle (v_{n-4}, v_{n-3}), (v_{n-2}, v_{n-1}) \rangle$ . Thus  $G \cong W_n$  is a cograph belonging to (ii) of Theorem 4.6. By

Theorem 2.10,  $W_n \cong G_{n-3} \cup 3K_1$  has  $n - 3$  main eigenvalues, where  $\{-1, 0, 0\}$  are the non-main eigenvalues if  $n$  is odd, and  $\{0, 0, 0\}$  are the non-main eigenvalues if  $n$  is even.

**Example 4.8.** Let  $H$  be a graph of order 4 that is obtained from  $G_2$  after adding vertices  $p, q$  and edges such that  $N(p) = N(q) = V(G_2)$ , in fact,  $H \cong P_3 \nabla K_1$ . Then  $G \cong H \nabla K_1$  is a cograph of order 5 which belongs to (ii) of Theorem 4.6 by  $\text{Aut}(H) = \langle (v_1, v_2), (p, q) \rangle$ . However, by calculation,  $\text{Spec}(G) = \{1 + \sqrt{7}, 1 - \sqrt{7}, -1, -1, 0\}$ , and only  $1 + \sqrt{7}, 1 - \sqrt{7}$  are main eigenvalues.

Examples 4.7 and 4.8 demonstrate that (ii) of Theorem 4.6 does not provide a characterization of cographs with  $n - 2$  main eigenvalues. On the other hand, we did not find the graph  $G$  belongs to case (iii) (or (iv)) of Theorem 4.6 such that  $|\text{MainSpec}(G)| < n - 2$ . This suggests that not all the cographs satisfying (ii) of Theorem 4.6 are the cographs of order  $n$  with  $n - 2$  main eigenvalues, but the cographs satisfying (iii) (or (iv)) of Theorem 4.6 are likely to be the all cographs of order  $n$  with  $n - 2$  main eigenvalues. We therefore propose the following problem.

**Problem 4.9.** Characterize the cographs of order  $n$  ( $\geq 3$ ) with exactly  $n - 2$  main eigenvalues.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

No data was used for the research described in the article.

### Acknowledgements

The authors would like to express their gratitude to the two referees for their invaluable suggestions and constructive comments which help to improve the original manuscript substantially.

This work is supported by the National Natural Science Foundation of China (Grant Nos. 11971180, 12271337 and 11571123).

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